

**TECHNICAL EDUCATION QUALITY IMPROVEMENT PROGRAMME
(TEQIP)**

PHASE – II

**PROPOSAL FORMAT FOR
SELECTION OF STATES AND UTs**

Eligibility Criteria for Selection of State

The States¹ will be selected based on merit of their proposal and their commitment to the following eligibility criteria:

S. N.	Attainment Parameters
1.	<p>Autonomous Status: The States need to encourage the project institutions participating in sub-component 1.1 to obtain <u>Autonomous Institution status</u> as per UGC norms to enable them to improve quality and relevance of the knowledge and skills of the Graduates through betterment in curriculum and assessment methods. The States need to issue orders to project institutions before signing of MoU with MHRD [refer Annex-III (a)] to seek and obtain autonomous status as per UGC norms and procedures (refer Annex-II).</p> <p>Continuation of funding to any project institution beyond the second year of the Project will be subject to its obtaining Autonomous Institution status.</p>
2.	<p>Decentralization of financing framework: The States need to create an Enabling Financing Framework that decentralizes a reasonable share of financial discretion to the institution’s leadership, incentivizes the institution to increase its internally generated revenue, and establish funds to allow for mid-term financial planning. The State needs to:</p> <ul style="list-style-type: none"> ▪ Adopt a Block Grant pattern: (as described in Annex-I) for fund release of, <u>at least, the non-salary non-Plan component of grants</u> to the funded (and aided as the case may be) project institutions. The funds’ utilization is to be left to the decision of the project institution as it deems fit during each financial year to achieve its mission, respecting regular Government purchasing and accounting guidelines ▪ Allow Retention of IRG: Permit project institutions to retain and utilize the revenue generated, including 100% of tuition and other fee and charges from students without adjusting the revenue retained in their non-Plan grants. ▪ Allow Establishment of four funds: Permit the funded and aided project institutions to establish 4 funds (as recommended in Annex-I), each in a separate Bank account, namely the Corpus Fund, Faculty Development Fund, Equipment Replacement Fund and Maintenance Fund (for maintenance of buildings and equipment). The States to: <ul style="list-style-type: none"> ➤ Direct project institutions to build these funds with annual contribution into each fund equal to at least 0.5% (total 2%) of annual recurring expenditure of the institution, and direct each project institution to additionally contribute from savings into the Corpus Fund; ➤ Issue guidelines for proper management of four funds after closure of this Project.
3.	<p>Filling-up the faculty vacancies: The benchmark value for the faculty positions on regular full time basis for institutions under sub-component 1.1 and 1.2 are mentioned in Sections 4.3.1 and 4.3.2 respectively. The States need to authorize each project institution to fill-up all faculty vacancies on a regular basis (over and above the benchmark value). Till such time that these vacancies are filled-up on a regular basis, the appointments on 11 month or longer contract may be permitted by the State.</p>
4.	<p>Establishment of SPFU: Establish an SPFU, located in the Department of the State Government responsible for Technical Education. The Head of SPFU shall be the Director or the equivalent officer responsible for Technical Education in that Department and will be designated as the State Project Advisor (SPA). The SPA will be assisted by a Project Coordinator who will be an academican. State should be willing to take a decision not to transfer / change the SPFU officials for the duration of the Project.</p>
5.	<p>Constitution of BoG in each Project Institution: Establish a governance model that will hold each government funded and government aided institution accountable towards government, civil society, and industry. In particular, the States need to constitute/ensure formation of a Board of Governors in each project institution as suggested in Section-5 and ensure that the Board of Governors meets at least 4 times in a year.</p>
6.	<p>Reforms Implementation: Each State is to implement the Project according to the Project Implementation Plan. This includes support and facilitation to implement both academic and non-academic reforms prescribed for implementation by all project institutions. (Refer Annex-I)</p>
7.	<p>Conduct of Pedagogical Training: Each State has to ensure that each project institution imparts pedagogical training to all its faculty members. The benefit of pedagogical training is also to be extended to faculty of non-project institutions on cost sharing basis.</p>

Note: Release of the first instalment of Central Project funds to a State for its institutions will be subject to receipt by the NPIU of the necessary documentation in respect of compliance with the Eligibility Criteria 1-5.

¹ Throughout the PIP, the word “State” implies both the State Governments and the Union Territory Governments.

SELECTION OF STATES
PART – I

- 1.1 Name of the State/UT: _____
- 1.2 Name of Secretary/Principal Secretary dealing with tertiary level Engineering Education: _____
- 1.3 Location of Directorate of Technical Education (DTE)/Department of State Government responsible for tertiary level Engineering Education: _____
Name of the Director of Technical Education (DTE) / Officer responsible in the Department of State Government for tertiary level Engineering Education: _____
- 1.4 Furnish the baseline data in Table-27.
- 1.5 Briefly explain the key policy objectives and challenges for Technical Education in the State and how the participation in the Project will help in enhancing quality of Technical Education as whole in the State? [Maximum 2 pages]
- 1.6 Summarize the main steps and challenges to implement increased academic autonomy in the institutions participating in Sub-component 1.1. [Eligibility Criteria 1] and establishing a Board of Governors [Eligibility Criteria 5]. Has the State consulted with affiliating Universities regarding this aspect? [Maximum 1 page]
- 1.7 Summarize the main steps and challenges to implement the enabling financing framework [Eligibility Criteria 2 and 3]. Has the State Financing Department been consulted and has it agreed to this framework? [Maximum 1 page]
- 1.8 Briefly explain the State Plan for sustaining the gains from the Project after its closing. [Maximum ½ page]

Table-27
State/UT Level Academic Data for Academic Year 2009-10

Note: Please give totals for the respective type of institutions in the State/UT and the gross total for the academic year 2009-2010)

Level of Program in Engineering Disciplines	State/UT Government Funded Institutions including Universities/ Deemed Universities				State/UT Government Aided Institutions including Universities/ Deemed Universities				Private Unaided Institutions including Universities/ Deemed Universities				Gross Total (totals in the State/UT excluding those in the Centrally- Funded Institutions/ Universities and Deemed Universities)			
	No. of Institutions	No. of Programs	Total Sanctioned Seats	Total No. of Students Admitted	No. of Institutions	No. of Programs	Total Sanctioned Seats	Total No. of Students Admitted	No. of Institutions	No. of Programs	Total Sanctioned Seats	Total No. of Students Admitted	No. of Institutions	No. of Programs	Total Sanctioned Seats	Total No. of Students Admitted
	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)
Bachelors Degree																
Masters Degree																
Doctoral Degree ²		X	X			X	X			X	X			X	X	

(X indicates that the information not required)

² For Doctoral programs, please give the total number of PhD registrants during 2009-2010 in columns 4, 8, 12 and 16.

PART – II

Essential commitments from the State:

S. No.	Item	State's Commitment (Yes/No)
1	Does the State agree to: share the project cost of the Government funded and aided institutions with MHRD in the ratio of 25:75 (10:90 in case of special category States)?	
2	Sponsor proposals from private unaided institutions and provide grant to those selected on cost sharing basis as recommended in PIP?	
3	conduct the pedagogy training for faculty of non-project institutions?	
4	consider applying for Innovation Fund?	
5	implement the Project according to the Project Implementation Plan?	
6	ensure implementation of both academic and non-academic reforms by all project institutions?	
7	encourage the project institutions participating in sub-component 1.1 to obtain Autonomous Institution status within two years, and accordingly issue orders to project institutions to seek and obtain Autonomous Institution status as per UGC norms and procedures?	
8	discontinue funding to any project institution beyond the second year of the Project, if the institution fails in obtaining the Autonomous Institution status or neglects project Implementation?	
9	adopt a Block Grant pattern for fund release of at least the non-salary non-Plan component of grants to the project institutions?	
10	permit project institutions to retain and utilize the revenue generated, including 100% of tuition and other fee and charges from students without adjusting the revenue retained in their non-Plan grants?	
11	permit the project institutions to establish 4 funds each in a separate Bank account, namely the Corpus Fund, Faculty Development Fund, Equipment Replacement Fund and Maintenance Fund (for maintenance of buildings and equipment) as recommended in PIP?	
12	authorize each project institution to fill up the faculty vacancies (over and above the benchmark value) to 100% on 11 month period or longer contracts till such time that these vacancies are filled on regular basis?	
13	establish "State Project Facilitation Unit" (SPFU) located in State Directorate of Technical Education / the department responsible for Technical Education with adequate staff and maintain the staffing with stability?	
14	constitute Board of Governors at each project institution as suggested in Annex-II of PIP and ensure that the Board of Governors meets at least 4 times in a year and ensure that the main proceedings of the meetings are made available on the internet?	
15	comply with Financial Management Guidelines as described in Section-6?	
16	follow Procurement Guidelines as described in Section-8 of PIP?	
17	comply with the Equity Action Plan as described in Section-9 of PIP?	
18	comply with the Environment Management Framework as described in Section-10 of PIP?	
19	comply with the Disclosure Management Framework as described in Section-11 of PIP?	